



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,196	06/14/2001	Minoru Kambegawa	CANO:030

CONFIRMATION NO. 5131

ROSSI & ASSOCIATES  
P.O. Box 826  
Ashburn, VA 20146-0826

## FORMALITIES LETTER



\*OC00000006402851\*

COPY OF PAPERS  
ORIGINALLY FILED  
01/24/02

Date Mailed: 08/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (303) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Minoru KAMBEGAWA

Serial No.: 09/881,196

Filed: June 14, 2001



BOX: MISSING PART

Batch:

Group Art Unit: 2121

Examiner:

COPY OF PAPERS  
ORIGINALLY FILED  
01/20/02

For: ARITHMETIC DECODING METHOD AND DEVICE AND STORAGE MEDIUM

Certificate of Mailing

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on:

Date: 11-05-01By: Marc A. Rossi

Marc A. Rossi

**RESPONSE TO MISSING PARTS**  
**WITH PETITION FOR EXTENSION OF TIME**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice of Missing Parts having a mailing date of August 9, 2001 applicants enclose herewith a copy of the signed Declaration. Also attached are an Assignment Transmittal Letter and a Preliminary Amendment providing a new abstract as required by the Notice of Missing Parts. As the period for response expired on October 9, 2001, applicant hereby petitions for a one-month extension of time.

Attached is a check in the amount of \$ 1064.00 covering the required \$ 740.00 filing fee, the \$ 130.00 fee for the late filing of the declaration \$ 84.00 for one additional independent claim, and the \$ 110.00 fee for the extension of time. The commissioner, however, is authorized

to charge deposit account -2056 (or credit any overpayment there, for any deficiency in fees required to maintain the pendency of this application.



Respectfully submitted,

A handwritten signature in black ink, appearing to read "Marc A. Rossi".

Marc A. Rossi  
Registration No. 31,923

11-05-01  
Date

Attorney Docket: CANO:030

ROSSI & ASSOCIATES  
P.O. Box 826  
Ashburn, VA 20146-0826  
703-234-7814



2121  
#5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Minoru KAMBEGAWA

Batch:

Serial No.: 09/881,196

Group Art Unit: 2121

Filed: June 14, 2001

Examiner:

For: ARITHMETIC DECODING METHOD AND DEVICE AND STORAGE MEDIUM

COPY OF PAPERS  
ORIGINALLY FILED  
01/28/02

**RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

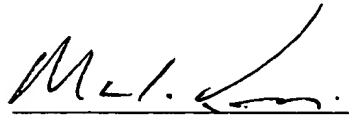
In response to the Request for Substitute Papers having a mailing date of March 15, 2002, applicant encloses herewith complete and accurate copies of the Response to Missing Parts with Petition for Extension of Time, Assignment Transmittal Letter and the Preliminary Amendment which were filed with the Patent Office on November 7, 2001 for the above-identified

application. Applicant requests confirmation of receipt by the Patent Office by telephone call to the office of the Attorney-of-Record at (703) 234-7814.

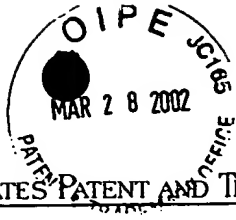
Respectfully submitted,

ROSSI & ASSOCIATES

03/25/02  
Date

  
Marc A. Rossi  
Registration No. 31,923

**Attorney Docket No. CANO:030**  
ROSSI & ASSOCIATES  
P.O. Box 826  
Ashburn, VA 20146-0826  
703-234-7814



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/881,196	06/14/2001	Kambegawa, Minoru	CANO:030

ROSSI & ASSOCIATES  
P.O. Box 826  
Ashburn VA 20146-0826

COPY OF PAPERS  
ORIGINALLY FILED  
01/29/02

**Title:** Arithmetic decoding method and device and storage medium

Date Mailed: 03/15/2002

## Request for Substitute Papers

03/29/02  
Resp for  
resp. substitute  
papers

The papers filed on 01/24/02 (certificate of mailing dated 11/05/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

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The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: MARC A. ROSSI Registration No.: 31,923

Signature: Marc A. Rossi Date: 03/25/02

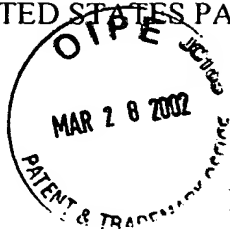
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Date: 11-05-01By: Marc A. Rossi

Marc A. Rossi

ASSIGNMENT TRANSMITTAL LETTER

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please record the attached Assignment in the name of the assignor and the assignee as indicated below and return the original document to the undersigned.

1. Name of Conveying Party(ies):

Minoru KAMBEGAWA

2. Name and Address of Receiving Party(ies):

CANON KABUSHIKI KAISHA  
3-30-2, Shimomaruko  
Ohta-ku, Tokyo  
JAPAN